their findings, and at the same time rule out a similar type of structure for the products we obtained in the present study, we repeated their experiment and obtained a white crystalline product with UV absorption data and melting point identical with those reported by Wierzchowski and Shugar. To further test their structural assignment, we analyzed the compound by NMR. On the NMR time scale we would expect the product to appear to have the symmetrical structure shown in braces in Scheme II. The ${ }^{1} \mathrm{H}$ NMR spectrum recorded in acetonitrile- $d_{3}$ (TMS standard) showed a six-proton singlet at $2.18 \mathrm{ppm}\left(2 \mathrm{CH}_{3}\right)$, a broad twoproton singlet at $7.73 \mathrm{ppm}(2 \mathrm{NH})$, and a fairly sharp one-proton resonance at $11.50 \mathrm{ppm}(\mathrm{N} \cdot \mathrm{H} \cdot \mathrm{N})$. This supports their structural assignment. As Wierzchowski and Shugar point out, the simplest and most plausible route of formation of this compound proceeds through a C2-C5 Dewar intermediate. Although the intermediate Dewar structures for 2,6-dimethyl-4-a minopyrimidine and those we propose as intermediates in the reactions of cytosine derivatives are different, the presence of the 4 -amino group common to both appears to allow facile decomposition of the Dewar structures to form open-chain nitriles.

Photoreaction of 2'-Deoxycytidine To Form VIa and VIb Is Insensitive to Oxygen and Is Not Photosensitized by Acetone. One piece of information of photochemical interest is the nature of the excited-state precursor(s) of the ureidoacrylonitriles. In particular, it is desirable to know whether excited singlet, triplet, and/or "hot" (highly vibrationally excited) ground states of the parent cytosines are involved in the photoreaction. We have studied the effect of oxygen quenching and acetone photosensitization on the reaction of $2^{\prime}$-deoxycytidine (VI) to form VIa and Vlb , in order to gain information about the possible involvement of the triplet state of VI. Deoxygenation of either an aqueous or an acetonitrile solution of $2^{\prime}$-deoxycytidine ( 2 mM ) and irradiation for 1 h at 254 nm showed no significant change in yield of formation of products VIa and VIb as compared to aerated samples, based on HPLC measurements. Furthermore, we did not observe reaction to produce VIa and VIb when VI was irradiated in the presence of $5 \%$ acetone at $\lambda>300 \mathrm{~nm}$ for 1 h . Both of these observations suggest that a triplet state is not involved; however, in drawing this conclusion, we have to make assumptions that the energy level for the triplet state of acetone is higher than
that of $2^{\prime}$-deoxycytidine and that the rate constant of oxygen quenching is sufficiently large to inactivate the triplet state before the putative Dewar intermediate forms. The first assumption is probably valid; although the triplet energy of $2^{\prime}$-deoxycytidine is evidently not known, the triplet energy level of cytidine $5^{\prime}$ monophosphate lies below the triplet state of acetone. ${ }^{32}$ The second assumption, however, is more questionable as the intramolecular rearrangement of the triplet state of VI to form a Dewar intermediate could be extremely fast.

## Conclusions

We have reported here the isolation and characterization of a novel class of photoproducts produced when cytosine, 5 methylcytosine, and related compounds are irradiated with ultraviolet light, namely the 3 -ureidoacrylonitriles. The simplest and most plausible mechanism for formation of these compounds involves the initial formation of the N3-C6 Dewar valence isomer, followed by rearrangement to the final product. In addition to their photochemical interest, these findings may be relevant to understanding the effects of ultraviolet radiation on DNA and, thus, could have significant photobiological importance.

## Abbreviations

Key: TSP, 2-(trimethylsilyl)propionate-2,2,3,3- $d_{4}$; TMS, tetramethylsilane; HPLC, high-performance liquid chromatography; COSY, two-dimensional correlation spectroscopy; LSIMS, liquid secondary ion mass spectrometry; T.L.C., thin-layer chromatography.

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Supplementary Material Available: UV absorbance spectrum of compound VIA and $300-\mathrm{MHz}{ }^{\prime} \mathrm{H}$ NMR spectrum of Vb measured in acetonitrile- $d_{3}$ against TMS ( 2 pages). Ordering information is given on any current masthead page.
(32) Reference 3, Table I, p 239 and references therein.

# Application of the Structural Correlation Method to Ring-Flip Processes in Benzophenones 

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#### Abstract

Thirty-eight crystallographically independent structures of thirty-two benzophenones were retrieved from the Cambridge Structural Data Base. All except one show an helical propeller conformation. The torsional angles of the two rings $\phi_{1}$ and $\phi_{2}$ were plotted one against the other in order to identify the threshold enantiomerization mechanism by applying the structural correlation method to the potential ring-flip processes. Molecular mechanics (MM) calculations on benzophenone gave the corresponding calculated potential energy surface. An excellent agreement with the calculated route for a one-ring flip was obtained from the conformational map of the crystallographic data, especially in benzophenones where the $\mathrm{C}=\mathrm{O}$ bond is involved in intramolecular hydrogen bonding. The strong preference for this route is rationalized by the tendency to maximize the $\mathrm{Ar}-\mathrm{C}=\mathrm{O}$ conjugation interaction during the rotation. The structural correlation method can be used also to evaluate trends in the changes of the structural parameters, such as bond lengths and angles in approaching the rotational transition state. Similar trends are obtained from a nalysis of the X-ray data and from MM calculations on the one-ring flip of benzophenone.


## Introduction

By using literature data we recently ${ }^{1}$ applied the structural correlation method ${ }^{2-4}$ to assess the most feasible ring flip routes

[^0]in 1,1-diaryl- and 1,1,2-triarylvinyl systems. ${ }^{1}$ Both the one- and the two-ring flips were found to be feasible for 1,1 -diarylvinyl

[^1]

Figure 1. Idealized transition states for zero-, one- ( $\beta$ and $\beta^{\prime}$ ), and iwo-ring flips in $\mathrm{Ar}^{1} \mathrm{Ar}^{2} \mathrm{C}=\mathrm{O}$. An open rectangle indicates a ring that is perpendicular to the $\mathrm{C}=\mathrm{C}$ plane.
propellers, and molecular mechanics (MM) calculations indicated that the former is preferred in the parent 1,1-diphenylethylene (1). This analysis was in agreement with the experimentally found shift in the rotational mechanism of lowest activational energy (threshold mechanism) in 2,2-dimesityl-1-substituted-ethenols. ${ }^{5}$

$$
\mathrm{Ph}_{2} \mathrm{C}=\mathrm{CH}_{2} \quad \underset{2}{ } \mathrm{Ph}_{2} \mathrm{C}=\mathrm{O}
$$

The $\mathrm{Ar}^{1} \mathrm{Ar}^{2} \mathrm{C}=\mathrm{X}$ moiety is present in other families, e.g., where $X=N$ or $O$. Of special interest are benzophenones ( $X=O$ ) whose static and the dynamic stereochemistry was investigated by a wide variety of methods. ${ }^{6}$ All methods agree that the preferred conformation is helical (propeller) (cf. Figure 1), but disagree on the values of the twist angles of the aryl rings.

Idealized transition states for the rotational routes leading to enantiomerization of helical benzophenone (2) are shown in Figure 1. When the rings rotate in concert (correlated rotation), both conrotatory and disrotatory routes are possible. In the conrotatory routes both rings are coplanar (zero-ring flip) or perpendicular (two-ring flip) with the $\mathcal{C}>c=0$ plane in the idealized transition state. In the disrotatory one-ring flip, one ring is coplanar with, and the other perpendicular to, the $\mathrm{C}=\mathrm{O}$ plane.

Benzophenones differ from 1,1-diarylethylenes in two important respects: (a) the higher conjugative $\pi(\mathrm{C}=\mathrm{O})-\pi(\mathrm{Ar})$ interaction should be reflected in the ground-state conformation, and in the preferred rotational route around the $=\mathrm{C}$ - Ar bonds; (b) properly situated hydrogen-bond-donating substituents can form intramolecular hydrogen bonds which may affect these conformations and routes.

[^2]

Figure 2. Labeling of bonds, bond angles, and torsional angles for benzophenone.

The barriers to conrotatory and disrotatory ring rotation in benzophenone were previously calculated by both MNDO and STO-3G methods. ${ }^{6}$ Both methods show that the threshold rotational mechanism is the one-ring flip. MM calculations on the crowded $2,2^{\prime}, 6,6^{\prime}$-tetramethylbenzophenone indicated, as in the case of 2, that the one-ring flip mechanism is favored over the two-ring flip.?

Dynamic NMR data are mostly restricted to crowded benzophenones. The dynamic behavior of $2,4,6$-triisopropylbenzophenone and its derivatives ${ }^{8, b}$ was analyzed in terms of a non-propeller-preferred conformation, and uncorrelated rings rotation. For several benzophenones and their complexes, the disrotatory one-ring flip is the preferred rotational pathway. ${ }^{8 \mathrm{c}}$

In the present work we retrieved the crystallographically determined structures of benzophenones from the Cambridge Structural Database (CSD) ${ }^{9}$ and used these data together with MM calculations to analyze the rotational behavior of benzophenones by the structural correlation method and to compare it with that of I, 1-diarylethylenes. ${ }^{10,11}$ Aryl heteroaryl ketones or diheteroaryl ketones ${ }^{12}$ have been excluded from our analysis.

## Results and Discussion

The Diaryl Ketones Data Base. A search of the CSD ${ }^{9}$ (1987 release) retrieved 65 benzophenones. For 19 of these, detailed information was not available or there were errors in the coordinates. Eleven structures with R values $>0.10$ (some belonging to the group above) were excluded from the analysis. This left 32 compounds with 38 crystallographically independent molecules,
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(11) An analogous case are triarylimines. However, the dihedral angles of the phenyl rings for the five $\mathrm{Ph}_{2} \mathrm{C}=\mathrm{NAr}$ structures found in the CSD (Naqvi, R. R.; Wheatley, P. J. J. Chem. Soc. A 1970, 2053. Jochims, J. C.; Lambrecth, J. L.; Burkert, U.; Szolnai, L.; Huthner, G. Tetrahedron 1984, 40,893 ) are too similar for application of structural correlation analysis for identifying the ring-flip process.
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| no. | $\mathrm{Ar}^{\mathbf{1}}$ | $\mathrm{Ar}^{2}$ | ref code | group ${ }^{\text {a }}$ | $d_{1}(\mathrm{C}=\mathrm{O}), \dot{\AA}$ | ${ }_{\text {d }}^{2}, \bar{\AA}$ | $\mathrm{d}_{3}, \bar{\AA}$ | $\alpha_{1}$, deg | $\alpha_{2}$, deg | $\alpha_{3}$. deg | $\phi_{1}$, deg | $\phi_{2}$. deg | ref |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Ph | Ph | BPHENO10 | S1 | 1.231 | 1.484 | 1.496 | 119.2 | 121.8 | 119.0 | 29.4 | 30.9 | $b$ |
| 2 | $3-\mathrm{BrC}_{6} \mathrm{H}_{4}$ | $3-\mathrm{BrC}_{6} \mathrm{H}_{4}$ | BRBEPH | S2 | 1.203 | 1.503 | 1.503 | 119.2 | 121.6 | 119.2 | 26.9 | 26.9 | $c$ |
| 3 | $4-\mathrm{ClC}_{6} \mathrm{H}_{4}$ | $4-\mathrm{ClC}_{6} \mathrm{H}_{4}$ | CBENPH | S1 | 1.220 | 1.535 | 1.535 | 119.2 | 121.6 | 119.2 | 27.8 | 27.8 | $d$ |
| 3a |  |  | CBENPH01 | S1 | 1.210 | 1.481 | 1.481 | 119.6 | 120.9 | 119.6 | 27.8 | 27.8 | e |
| 4 | 4-1C66 ${ }^{\text {H }}$ | $4-1 \mathrm{C}_{6} \mathrm{H}_{4}$ | ZZZOVY01 | S1 | 1.222 | 1.494 | 1.494 | 119.3 | 121.3 | 119.3 | 26.9 | 26.9 | $f$ |
| 5 | $4 \mathrm{O}_{2} \mathrm{NC}_{6} \mathrm{H}_{4}$ | $4-\mathrm{O}_{2} \mathrm{NC}_{1} \mathrm{H}_{4}$ | NOPHKN | S1 | 1.220 | 1.482 | 1.510 | 120.5 | 121.0 | 118.5 | 21.5 | 36.5 | $g$ |
| 5b |  |  |  | S1 | 1.216 | 1.500 | 1.506 | 120.4 | 119.8 | 119.9 | 27.6 | 34.3 | $g$ |
| 6 | 2-Me-1-Np ${ }^{\text {n }}$ | 2-Me-1-Np ${ }^{\text {h }}$ | MNPKET | S2 | 1.218 | 1.496 | 1.497 | 120.7 | 119.3 | 120.0 | 49.8 | 56.8 | i |
| 6b |  |  |  | S2 | 1.222 | 1.501 | 1.505 | 121.1 | 118.7 | 120.3 | 48.6 | 49.6 | i |
| 7 | $4 \mathrm{~N}_{2} \mathrm{NC}_{6} \mathrm{H}_{4}$ | $4-\mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4}$ | AMBZPH | S1 | 1.240 | 1.473 | 1.481 | 120.8 | 119.5 | 119.7 | 25.9 | 35.8 | j |
| $8{ }^{8}$ | $4-\mathrm{C}_{6} \mathrm{H}_{4} \mathrm{C}_{6} \mathrm{H}_{4} \mathrm{COPh}-4$ |  | BZOBPH | A1 | 1.223 | 1.477 | 1.478 | 119.7 | 120.4 | 119.9 | 26.5 | 30.3 | $k$ |
| $8{ }^{86}$ |  |  |  | A1 | 1.231 | 1.474 | 1.498 | 119.3 | 122.8 | 117.9 | 27.6 | 29.6 | $k$ |
| 9 | Ph | $\mathrm{A}^{\prime}$ | CIDRAI | A2 | 1.215 | 1.489 | 1.494 | 120.0 | 120.0 | 120.0 | 16.8 | 40.9 | m |
| 10n | Ph | 2,7-Me ${ }_{2}$-8-PhCO-1-Np ${ }^{\text {h }}$ | BOMNPH | A.O | 1.226 | 1.470 | 1.501 | 121.2 | 118.8 | 119.8 | 14.3 | 83.3 | $n$ |
| 106 |  |  |  | A2,O | 1.187 | 1.486 | 1.502 | 122.3 | 117.8 | 119.9 | 10.8 | 77.9 | $n$ |
| 11 | 2- $\mathrm{Np}^{\boldsymbol{h}}$ | 2,4,6-i- $\mathrm{Pr}_{3} \mathrm{C}_{6} \mathrm{H}_{2}$ | BAZZIL10 | A2,O | 1.215 | 1.485 | 1.511 | 123.2 | 118.6 | 118.2 | 17.9 | 84.1 | o |
| 12 | Ph | ${ }^{2-t-1-\mathrm{BuC}_{6} \mathrm{H}_{4}}$ | DECWAJ01 | A2,O | 1.214 | 1.491 | 1.503 | 120.9 | 118.8 | 120.2 | 8.0 | 70.6 | $p$ |
| 13 | Ph | $5-\mathrm{PhCO}-1-\mathrm{Np}{ }^{\text {n }}$ | DBONAP | A2,O | 1.218 | 1.490 | 1.504 | 120.8 | 119.7 | 119.4 | 20.2 | 57.6 | $q$ |
| 14 | $2-\mathrm{O} 2 \mathrm{~N}-4-\mathrm{MeO}_{2} \mathrm{CC}_{6} \mathrm{H}_{3}$ | Mes ${ }^{\text {P }}$ | MCNBZP10 | A2,O | 1.210 | 1.500 | 1.502 | 119.0 | 118.5 | 122.5 | 38.7 | 51.6 | $s$ |
| 15 | 2- $\mathrm{PhCH}_{2} \mathrm{~N}=\mathrm{C}(\mathrm{Ph}) \mathrm{C}_{6} \mathrm{H}_{4}$ | Ph | BULHIZ | A2,0 | 1.223 | 1.501 | 1.488 | 118.1 | 122.1 | 119.8 | 32.4 | 40.3 | $t$ |
| 16 | $3-\mathrm{i}-\mathrm{Pr}-4-\mathrm{MeOC} \mathrm{C}_{6} \mathrm{H}_{3}$ | 2,6-12-4-MeO $\mathrm{MCC}_{6} \mathrm{H}_{2}$ | DIPMOBIO | A3,0 | 1.250 | 1.448 | 1.524 | 123.5 | 119.2 | 117.2 | 5.3 | 84.2 | $u$ |
| 179 | 2.4-( HO$)_{2} \mathrm{C}_{6} \mathrm{H}_{3}$ | 2,4-( HO$)_{2} \mathrm{C}_{6} \mathrm{H}_{3}$ | BADVILI0 | S2.O.H | 1.259 | 1.458 | 1.464 | 119.5 | 122.3 | 118.3 | 18.6 | 28.3 | $v$ |
| 17b |  |  |  | S2,0.H | 1.269 | 1.450 | 1.455 | 118.7 | 123.6 | 117.7 | 16.4 | 32.6 | 0 |
| 18 | Ph | 4-EtO-8-HO-1-Np ${ }^{\text {h }}$ | BYEXNO | A2.0 | 1.223 | 1.489 | 1.503 | 119.6 | 120.6 | 119.3 | 15.1 | 76.3 | $w$ |
| 19 | $3-\mathrm{Br}-2-\mathrm{HOC}_{6} \mathrm{H}_{3}$ | $2-\mathrm{HOOCC}_{6} \mathrm{H}_{4}$ | BROHBZ | A3,O,H | 1.238 | 1.509 | 1.478 | 120.2 | 120.9 | 118.5 | -6.8 | 83.9 | $\boldsymbol{x}$ |
| 20 | ${ }^{2}-\mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4}$ | Ph ${ }^{\text {P }}$ | dembay | A2,O.H | 1.231 | 1.464 | 1.492 | 122.7 | 118.8 | 118.5 | 17.8 | 56.4 | $y$ |
| 21 | Ph | 2-HO-3-AcO-5-MeC ${ }_{6} \mathrm{H}_{2}$ | BAGPAA | A 2,0 | 1.217 | 1.477 | 1.505 | 121.1 | 119.6 | 119.2 | 28.8 | 50.9 | $z$ |
| 22 | $2-\mathrm{H}_{2} \mathrm{NC}_{6} \mathrm{H}_{4}$ | $2-\mathrm{HOOCC}_{6} \mathrm{H}_{4}$ | AMBZAC | A3,O,H | 1.243 | 1.447 | 1.514 | 122.7 | 120.1 | 117.1 | 7.4 | 65.3 | ${ }^{\text {a }}$ |
| 23 | 2- $\mathrm{HOOCC}_{6} \mathrm{H}_{4}$ | ${ }_{\text {- }}^{3} \mathrm{Cl} 1-4-\mathrm{HOC}_{6} \mathrm{H}_{5}$ | QQQHDS 10 | ${ }^{\text {A3, }} \mathrm{O}$ | 1.212 | 1.482 | 1.504 | 124.9 | 116.0 | 118.7 | 18.5 | 79.7 | ${ }^{\text {b }}$ d |
| 24 | $2-\mathrm{HO}-3-\mathrm{Cl-6}-\mathrm{MeOC}_{6} \mathrm{H}_{2}$ | ${ }_{\text {Pr }}{ }^{\text {cer }}$ | CHBCOU | A3,O,H | 1.228 | 1.476 | 1.491 | 119.4 | 122.2 | 118.4 | 20.6 | 50.6 | $d d$ |
| 25 | 2.4-( HO$)_{2} \mathrm{C}_{6} \mathrm{H}_{3}$ | Ph | DHXBZP10 | A2,O.H | 1.253 | 1.453 | 1.489 | 120.4 | 122.1 | 117.5 | 7.6 | 46.4 | ee |
| 26 | 2 2-HO-4-MeOC6 ${ }_{6} \mathrm{H}_{3}$ |  | HMXBZZP | A2,O.H | 1.255 | 1.447 1 | 1. 500 | 121.0 | 122.9 | 116.1 | 10.5 87 | 42.7 424 | ${ }_{g} \mathrm{ff}$ |
| 27 28 | ${ }_{2}^{2-\mathrm{HO}-4-4 . \mathrm{MeOCO}_{6} \mathrm{H}_{3} \mathrm{H}_{3}}$ | ${ }_{2-\mathrm{HOOCC}}^{6}$ - $\mathrm{ClCH}_{6} \mathrm{H}_{4}$ | HMXCBP10 CLOHBZ | A2,O,H A3,O.H | 1.247 1.240 | 1.474 1.463 | 1.490 1.517 | 120.3 122.0 | 121.8 120.6 | 117.9 | 8.7 4.9 | 42.4 87.6 | $\stackrel{g g}{h}$ |
| 29 | 2 - $\mathrm{HO}-5-\mathrm{ClC}_{6} \mathrm{H}_{5}$ | 2. $\mathrm{HOOCC}_{6} \mathrm{H}_{4}$ | ClhbzL | A3,0, H | 1.228 | 1.481 | 1.486 | 120.8 | 120.0 | 118.9 | 5.1 | 78.1 | ii |
| 30 | 2.6-( HO$)_{2}-4-\mathrm{McOC}_{6} \mathrm{H}_{2}$ | $3-\mathrm{HO}-2-\left(\mathrm{CHCH}=\mathrm{CMe}_{2}\right) \mathrm{C}_{6} \mathrm{H}_{3}$ | CUDRAN | A2,O,H | 1.249 | 1.447 | 1.498 | 120.8 | 122.2 | 117.0 | 7.8 | 74.4 | jj |
| 31 | ${ }^{\text {ck }}$ | Ph | COPKIB | A2,O.H | 1.240 | 1.469 | 1.505 | 121.0 | 122.0 | 117.1 | 22.9 | 51.6 | 11 |
| 32 | $2-\mathrm{HOC}_{6} \mathrm{H}_{4}$ | $\mathrm{D}^{\text {mm }}$ | SALBZF | A3, O, H | 1.247 | 1.445 | 1.499 | 122.0 | 121.4 | 116.6 | 4.6 | 58.6 | $n \mathrm{n}$ |


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Figure 3. Conformational map ( $\omega_{1}$ vs $\omega_{2}$ ) for benzophenones. $\omega_{1}$ and $\omega_{2}$ denote the angles equivalent to $\phi_{1}$ and $\phi_{2}$ which are generated by the symmetry of the benzophenone skeleton. The contours are calculated equipotential energy regions for 2 and are spaced by $1.35 \mathrm{kcal} \mathrm{mol}^{-1}$. The points are for $\phi_{1}, \phi_{2}$ of $\mathrm{Ar}^{1} \mathrm{Ar}^{2} \mathrm{C}=\mathrm{O}$ from Table 1. Parts of the figure are as follows: (A) all compounds, (B) non-hydrogen-bonded compounds, (C) hydrogen-bonded compounds.

Table II. Calculated Energies and Structural Parameters for the Ground State and Rotational Transition States of Benzophenone ${ }^{a}$

| parameter ${ }^{a}$ | ground <br> state | transition state for $n$-ring flip |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | zero-ring | one-ring | two-ring | $C_{2 v}{ }^{b}$ |  |
| relative encrgy | 0 | 7.5 | 1.4 | 6.8 | 8.7 |
| $\mathrm{C}(1)-\mathrm{O}$ | 1.23 | 1.23 | 1.23 | 1.22 | 1.24 |
| $\alpha_{1}$ | 120.2 | 117.4 | 123.0 | 122.7 | 115.1 |
| $\alpha_{2}$ | 119.6 | 125.3 | 117.3 | 114.7 | 129.8 |
| $\alpha_{3}$ | 120.2 | 117.4 | 119.6 | 122.7 | 115.1 |
| $\mathrm{C}(1)-\mathrm{C}(2)-\mathrm{C}(3)$ | 119.1 | 118.0 | 121.4 | 119.5 | 116.7 |
| $\mathrm{C}(1)-\mathrm{C}(2)-\mathrm{C}(7)$ | 121.5 | 123.8 | 119.4 | 119.7 | 127.5 |
| $\mathrm{C}(1)-\mathrm{C}(8)-\mathrm{C}(13)$ | 119.0 | 118.0 | 119.5 | 119.5 | 116.7 |
| $\mathrm{C}(1)-\mathrm{C}(8)-\mathrm{C}(9)$ | 121.5 | 123.8 | 119.6 | 119.6 | 127.5 |
| $\mathrm{O}-\mathrm{C}(1)-\mathrm{C}(2)-\mathrm{C}(7)$ | 150 | $162^{c}$ | 180 | 90 | $180^{\boldsymbol{c}}$ |
| $\mathrm{O}-\mathrm{C}(1)-\mathrm{C}(8)-\mathrm{C}(9)$ | 149 | $162^{d}$ | 90 | 90 | $180^{c}$ |

[^3]and their structures, bond lengths and angles and torsional angles (cf. Figure 2 for labeling) are given in Table I. The compounds belong to several subgroups, but in practice, semiquantitative differences were found only between hydrogen-bonded and non-hydrogen-bonded systems.

Molecular Mechanics Calculations. The potential energy map of benzophenone (2) as a function of the torsional angles of the rings was calculated by Baraldi et al. using the CINDO method. ${ }^{13}$ Parts of the potential energy surface were recently calculated by the MNDO and the ab initio (STO-3G) methods with partial geometry optimization. ${ }^{6}$ We recalculated the whole potential energy map using Allinger's molecular mechanics program, MM2(85). ${ }^{14}$ The torsional angle of one of the rings was driven from $0^{\circ}$ to $180^{\circ}$, and that of the other from $0^{\circ}$ to $90^{\circ}$ in $10^{\circ}$ increments.

The results are plotted in a contour map in Figure 3. Calculated energies and selected structural parameters are given in Table II. The calculated geometries of the different transition states are shown in Figure 4. As shown graphically in Figure 3, the lower energy region corresponds to a propeller conformation with $\phi_{1}=\phi_{2}=30^{\circ}$ in agreement with the crystallographically determined ( X -ray) values ( $29.4^{\circ} ; 30.9^{\circ}$ ). ${ }^{15}$ The calculated threshold rotational route corresponds to a disrotatory motion of the rings (a one-ring flip route) with a barrier of $1.4 \mathrm{kcal} \mathrm{mol}^{-1}$,

[^4]

A


B


C


D

E

Figure 4. Calculated (MM2(85)) conformations of the lower energy form (A) and the transition states (viewed from a normal to the $\mathbb{C}>C=0$ plane) for the two-ring flip (B), the one-ring flip (C), and zero-ring flip (D), also viewed along the $C=O$ bond $(E)$ of benzophenone.
whereas the two conrotatory routes (zero- and two-ring flips) have higher barriers ( 7.5 and $6.8 \mathrm{kcal} \mathrm{mol}^{-1}$, respectively). The calculated transition state of the zero-ring flip adopts an helical conformation of $C_{2}$ symmetry (cf. Figure 4). The deviation from planarity is reflected by the $\mathrm{O}-\mathrm{C}(1)-\mathrm{C}(2)-\mathrm{C}(7)$ and the $0-$ $\mathrm{C}(1)-\mathrm{C}(8)-\mathrm{C}(9)$ torsional angles of $162^{\circ}$. A planar $C_{2}$ structure lies $1.2 \mathrm{kcal} \mathrm{mol}^{-1}$ above the $C_{2}$ structure.

A comparison between the calculated potential map of 1 and $\mathbf{2}$ is of interest. The torsional angles of the ground-state conformation of $2\left(30^{\circ}\right)$ are smaller than those calculated for $1\left(40^{\circ}\right)$, in agreement with the lower steric requirements of the oxygen of $\mathbf{2}$ compared with $\mathrm{CH}_{2}$ in $\mathbf{1}$ and the larger conjugation energy of $\mathbf{2}$. The calculated energy difference (MM2(85)) between the one- and two-ring flip routes is larger for $2\left(5.4 \mathrm{kcal} \mathrm{mol}^{-1}\right)$ than for $1\left(1.8 \mathrm{kcal} \mathrm{mol}^{-1}\right)$. This suggests that the one-ring-two-ring flip dichotomy in thresholds mechanisms which exist for derivatives of $\mathbf{1}$, is absent for derivatives of $\mathbf{2}$. Consequently, these systems should undergo helicity reversal exclusively via a one-ring flip. Baraldi et al. found similar trends in their calculations, although the increase in the energy gap between the barriers for the two-ring and the one-ring flip routes are less pronounced ( $1.1 \mathrm{kcal} \mathrm{mol}^{-1}$ for $\mathbf{1 , 2 . 6} \mathrm{kcal} \mathrm{mol}^{-1}$ for $\mathbf{2}$ ). ${ }^{13}$ Our calculated barriers of $\mathbf{2}$ resemble the calculated barriers for the one- and two-ring flip processes using STO-3G calculations ( 1.2 and $6 \mathrm{kcal} \mathrm{mol}^{-1}$ ). The calculated barrier for the zero-ring flip process is lower in 2 than in 1 ( 7.5 and $12.9 \mathrm{kcal} \mathrm{mol}^{-1}$, respectively), probably due to a higher $\mathrm{Ph}-\mathrm{C}=\mathrm{O}$ as compared to a $\mathrm{Ph}-\mathrm{C}=\mathrm{C}$ conjugation energy. The


Figure 5. Histogram of frequency of appearance vs $\mathrm{C}=\mathrm{O}$ bond length in non-hydrogen-bonded and hydrogen-bonded benzophenones ( $A$ and $B$, respectively).
deviation of $18^{\circ}$ from planarity of the rings in the transition state for the zero-ring flip of $\mathbf{2}$ is less pronounced than the corresponding deviation of $26^{\circ}$ for 1 , in agreement with the expected larger loss of conjugation energy in 2.

Application of the Structural Correlation Method. In the calculated conformational map of benzophenone (Figure 3 parts A-C) the contours are drawn $1.35 \mathrm{kcal} \mathrm{mol}^{-1}$ apart. Superimposed on the contour map of Figure 3A are the experimental points for all the data given in Table I. In Figure 3B,C these are subdivided into the compounds with no intramolecular hydrogen bonds involving the $\mathrm{C}=\mathrm{O}$ group and ortho-substituents and intramolecularly hydrogen-bonded diaryl ketones, respectively.

The clear outcome from Figure 3 is that all compounds are spread, nearly evenly, along the $\left(90^{\circ}, 0^{\circ}\right) ;\left(0^{\circ}, 90^{\circ}\right)$ diagonal, whereas the $\left(0^{\circ}, 0^{\circ}\right) ;\left(90^{\circ}, 90^{\circ}\right)$ diagonal is not represented except for points common to both diagonals. Also shown is the absence of points except one, in the square defined by the $\left(0^{\circ} \rightarrow-90^{\circ}\right) ;\left(0^{\circ}\right.$ $\rightarrow 90^{\circ}$ ) axes, indicating that 37 out of the 38 structures have a propeller structure, i.e., the two rings are twisted in the same sense. The exception is item 19 in Table I where one ring is nearly perpendicular to the $\mathrm{C}=\mathrm{O}$ plane $\left(83.9^{\circ}\right)$ and the other is nearly coplanar, but twisted in the opposite sense $\left(-6.8^{\circ}\right)$. This compound deviates consistently in correlations involving bond lengths and angles. The hydrogen-bonded derivatives follow the minimum energy path much more closely (Figure 3C) than the other derivatives, which cluster in several regions, including around the calculated ( $30^{\circ}, 30^{\circ}$ ) minimum (Figure 3B).

The application of structural correlation method is straightforward. The preferred minimum energy path for the correlated rotation of the two rings of 2 is a one-ring flip. No indication for the two-ring or the zero-ring flip processes, (i.e., presence of points near the extremes of the $\left(0^{\circ}, 0^{\circ}\right) ;\left(90^{\circ}, 90^{\circ}\right)$ diagonal), is observed. Consequently, both the MM calculations and the application of the structural correlation method lead to the same conclusion.

Intramolecular Hydrogen Bonding in o-Hydroxydiaryl Ketones. In the structure correlations, we separated intramolecularly or-tho-substituted hydrogen-bonded systems from non-hydrogenbonded ones. Compounds clearly identified as having intramolecular $\mathrm{O}-\mathrm{H} \cdots \mathrm{O}=\mathrm{C}$ hydrogen bonds are those with $\mathrm{O} \ldots \mathrm{O}$ nonbonded distances of 2.50-2.72 $\AA$. The presence of hydrogen bonding requires spatial proximity of the hydrogen-bonded groups. This may also be reflected in other structural properties, such as alternate changes in the bond lengths to atoms involved in the bonding, as shown in Gilli's work on hydrogen bonds in enols of $\beta$-diketones. ${ }^{16}$ For the hydrogen-bonded $\sigma$-hydroxybenzophenones (3), the $\mathrm{C}=\mathrm{O}$ bond length should be elongated as compared with

Table III. Observed Correlations between Bond Lengths, Bond Angles, and Torsional Angles for Benzophenones and Corresponding Calculated Relationships for the One-Ring Flip of Benzophenone

| correlation equation | type ${ }^{\text {a }}$ |
| :---: | :---: |
| $d_{1}=1.230(8)-0.00003(14) \phi_{2}$ | exp, A |
| $d_{1}=1.221(7)-0.00004(12) \phi_{2}$ | exp, NHB |
| $d_{1}=1.269(9)-0.00044(15) \phi_{2}$ | exp, HB |
| $d_{2}=1.484(1)+0.00025(3) \phi_{1}$ | cal |
| $d_{2}=1.463(6)+0.00080(25) \phi_{1}$ | exp, A |
| $d_{2}=1.458(5)+0.00058(11) \phi_{1}$ | exp, NHB |
| $d_{2}=1.486(4)+0.00026(9) \phi_{1}$ | exp, HB |
| $d_{3}=1.4752(19)+0.00048(3) \phi_{2}$ | cal |
| $d_{3}=1.485(7)+0.00024(12) \phi_{2}$ | exp, A |
| $d_{3}=1.495(7)+0.00011(12) \phi_{2}$ | exp, NHB |
| $d_{3}=1.460(12)+0.00057(20) \phi_{2}$ | exp, HB |
| $d_{2}=1.495(1)-0.00013(1) \phi_{2}$ | cal |
| $d_{2}=1.490(9)-0.00021(16) \phi_{2}$ | exp, A |
| $d_{2}=1.505(3)-0.00026(8) \phi_{2}$ | exp, NHB |
| $d_{2}=1.493(6)-0.00044(12) \phi_{2}$ | exp, HB |
| $d_{3}=1.5164(19)-0.00092(12) \phi_{1}$ | cal |
| $d_{3}=1.497(5)-0.00005(2) \phi_{1}$ | exp, A |
| $d_{3}=1.507(7)-0.00026(25) \phi_{1}$ | exp, NHB |
| $d_{3}=1.495(8)-0.00026(59) \phi_{1}$ | exp, HB |
| $\Delta d=-0.002(1)-0.00041(2) \Delta \phi$ | cal |
| $\Delta d=0.0074(51)+0.00034(12) \Delta \phi$ | exp, A |
| $\Delta d=0.0030(37)+0.00043(10) \Delta \phi$ | exp, NHB |
| $\Delta d=0.0276(161)+0.000007(303) \Delta \phi$ | exp, HB |
| $\alpha_{2}=122.77(64)-0.043(11) \phi_{2}$ | exp, A |
| $\alpha_{2}=122.96(60)-0.060(11) \phi_{2}$ | exp, NHB |
| $\alpha_{2}=132.28(1.01)-0.036(17) \phi_{2}$ | exp, HB |
| $\alpha_{1}=123.05(16)-0.093(10) \phi_{1}$ | cal |
| $\alpha_{1}=118.16(49)+0.048(9) \phi_{2}$ | exp, A |
| $\alpha_{1}=117.63(51)+0.061(9) \phi_{2}$ | exp, NHB |
| $\alpha_{1}=119.291(1.02)+0.025(17) \phi_{2}$ | exp, HB |
| $\alpha_{2}=124.74(46)-0.084(6)\left(\phi_{1}+\phi_{2}\right)$ | cal |
| $\alpha_{2}=125.20(82)-0.06505(1107)\left(\phi_{1}+\phi_{2}\right)$ | exp, A |
| $\alpha_{2}=124.83(1.00)-0.065(13)\left(\phi_{1}+\phi_{2}\right)$ | exp, NHB |
| $\alpha_{2}=125.08(1.43)-0.056(20)\left(\phi_{1}+\phi_{2}\right)$ | exp, HB |
| $d_{1}=1.0964(313)+0.0011(3) \alpha_{2}$ | cal |
| $\mathrm{d}_{1}=0.480(170)+0.00621(141) \alpha_{2}$ | exp, A |
| $\mathrm{d}_{1}=0.883(138)+0.00279(115) \alpha_{2}$ | exp, NHB |
| $\mathrm{d}_{1}=0.662(206)+0.00481(170) \alpha_{2}$ | exp, HB |
| $\mathrm{d}_{2}=2.078(278)-0.00496(230) \alpha_{1}$ | exp, A |
| $\mathrm{d}_{2}=1.839(243)-0.00289(201) \alpha_{1}$ | exp, NHB |
| $\mathrm{d}_{2}=1.958(411)-0.00409(340) \alpha_{1}$ | exp, HB |
| $\Delta \mathrm{d}=-0.056(5)+0.0098(19) \Delta \alpha$ | cal |
| $\Delta \mathrm{d}=0.004(3)+0.00788(102) \Delta \alpha$ | exp, A |
| $\Delta \mathrm{d}=0.006(3)+0.00619(112) \Delta \alpha$ | exp, NHB |
| $\Delta \mathrm{d}=-0.010(8)+0.01225(228) \Delta \alpha$ | exp, HB |

${ }^{a} \exp =$ correlation of crystallographic data; cal = calculation for the one-ring flip of 2; $\mathrm{A}=$ all benzophenones; $\mathrm{HB}=$ only hydrogen-bonded benzophenones; $\mathrm{NHB}=$ only non-hydrogen-bonded benzophenones.
non-hydrogen-bonded benzophenones whereas the $\mathrm{C}-\mathrm{O}$ and the $\mathrm{C}_{1}$-Ar bonds should shrink.


3
The lengthening of the $\mathrm{C}=\mathrm{O}$ bonds which are involved in hydrogen bonding is clearly demonstrated in the comparison of the histograms shown in Figure 5 parts A and B. In non-hy-drogen-bonded compounds the $\mathrm{C}(1)=\mathrm{O}$ bond length are concentrated at ca. $1.22 \AA$ (Figure 5A). In the hydrogen bonded systems, the most frequent bond lengths are 1.24-1.25 $\AA$ (Figure 5B) with an average of $1.245 \AA$, a value larger than any value shown in Figure 5A.

The change in the $\mathrm{C}-\mathrm{O}$ bond length is unexpected. In enols of $\beta$-diketones this bond shrinks appreciably with intramolecular hydrogen bonding. ${ }^{16}$ The $\mathrm{C}-\mathrm{O}$ bond lengths in hydrogen-bonded benzophenones are indeed mostly shorter than the standard $\mathrm{C}-\mathrm{O}$ bond length value of $1.362 \AA .{ }^{17}$ However, a plot of the $\mathrm{C}=\mathrm{O}$


Figure 6. $\Delta d$ vs $\Delta \phi$ plots. Parts of the figure are as follows: (A) calculated for the one-ring flip of benzophenone, and (B) experimental points for non-hydrogen-bonded benzophenones.
$\left(d_{1}\right)$ vs the $\mathrm{C}-\mathrm{O}\left(d_{5}\right)$ bond length (supplementary material Figure S 1) shows a regular increase, and the slope of 1.1 of the leastsquares regression (eq I) shows that the mutual changes in both

$$
\begin{equation*}
d(\mathrm{C}=\mathrm{O})=1.1(\mathbf{1}) d(\mathrm{C}-\mathrm{O})-0.2(\mathbf{2}) \tag{1}
\end{equation*}
$$

bond lengths are in the same direction to approximately the same extent. Consequently, if the $\mathrm{C}=\mathrm{O}$ bond length is taken as an indication of the extent of hydrogen bonding then the $\mathrm{C}-\mathrm{O}$ bond length increases rather than decreases with the formation of hydrogen bonding. The reason for this is not yet clear.

For aryl groups not involved in hydrogen bonding the range of the $\mathrm{C}_{1}-$ Ar bond lengths is the normal $\mathrm{sp}^{2}-\mathrm{sp}^{2}$ bond length of 1.47-1.52 $\AA$ with the most frequent value at $1.50 \AA$. An exception ( $1.535 \AA$ ) is for $4,4^{\prime}$-dichlorobenzophenone. When the aryl group is involved in the intramolecular hydrogen bonding the $\mathrm{C}_{1}-\mathrm{Ar}$ bond is shorter ( $1.45-1.48 \AA$ ), with the most frequent value at $1.45 \AA$. An exception is again item 19. The histograms are in supplementary material Figure S2. Supplementary material Figure S3 shows the difference between the two aromatic bond lengths to the $\mathrm{C}(\mathrm{OH})$ carbon in 3 . Bond $d_{6}$ anti to $d_{2}$ is much shorter (1.365-1.405 $\AA$ ) than bond $d_{4}$ geminal to $d_{2}(1.40-1.43$ $\AA$ ).

Correlations Involving Bond and Torsional Angles and Bond
Lengths. In addition to the change in $\phi_{1}$ and $\phi_{2}$ as the rotation progresses along the threshold mechanism, the bond lengths and

[^5]A

Figure 7. Plots of $\alpha_{2}$ vs $\phi_{1}+\phi_{2}$. Parts of the figure are as follows: (A) calculated for the one-ring flip of benzophenone, and (B) experimental points for all benzophenones.
angles should also change. Hence, correlations between them may be as valuable in delineating the threshold process. For example, for steric reasons, the angle $\alpha_{2}$ is expected to open in the zero-ring flip and presumably to shrink in the two-ring flip. For conjugation reason, both $d_{2}$ and $d_{3}$ are expected to decrease during the zero-ring flip, to increase during the two-ring flip and to change in opposite directions in the one-ring flip. Since the one-ring flip for $\mathbf{2}$ is well established (Figure 3) we calculated the changes in bond lengths and angles for this route and correlated them with one another The same crystallographic parameters were then correlated for all compounds together and for hydrogen-bonded and non-hy-drogen-bonded benzophenones separately. In general, the calculated and the experimental (crystallographic) plots show the same trend although the scatter in the experimental plots is usually larger. Consequently, the structural correlation treatment can be applied with reasonable confidence, to evaluate the direction of the changes in bond lengths and angles during the threshold rotational process.

Due to the $\cos ^{2} \phi$ dependence of the extent of the $\mathrm{Ar}-\mathrm{CO}$ overlap, the conjugation decreases along the one-ring flip route. $\phi_{2}$ was chosen as the torsional angle of the flipping ring and therefore we predict that the $\mathrm{C}=\mathrm{O}$ bond $\left(d_{1}\right)$ and the bond to the nonflipping ring $\left(d_{2}\right)$ should shrink while the bond to the flipping ring $\left(d_{3}\right)$ should elongate with the progress of the rotation. Intuitively, for steric reasons $\alpha_{1}$ should increase and $\alpha_{2}$ and $\alpha_{3}$ should decrease along the rotation. Consequently, plots of $d_{1}, d_{2}$, $\alpha_{2}$, or $\alpha_{3}$ vs $\phi_{2}$, or of $d_{3}$ or $\alpha_{1}$ vs $\phi_{1}$, and of $d_{2}$ vs $\alpha_{1}$, and of $d_{3}$ vs $\alpha_{2}$ should show a descending trend, whereas plots of $d_{3}$ or $\alpha_{3}$ vs $\phi_{2}$, of $d_{1}, d_{2}, \alpha_{2}$, or $\alpha_{3}$ vs $\phi_{1}$, and of $d_{1}$ vs $\alpha_{2}, d_{2}$ vs $\alpha_{3}$, and of $d_{3}$ vs $\alpha_{1}$ should show a parallel increase in both parameters. Since
the changes are small, the trends will be amplified in plots of $\Delta \mathrm{d}(=$ $\left.\mathrm{d}_{3}-\mathrm{d}_{2}\right), \Delta \phi\left(=\phi_{2}-\phi_{1}\right)$, and $\Delta \alpha\left(=\alpha_{1}-\alpha_{3}\right)$ vs the $\alpha$ 's, $d^{\prime} \mathrm{s}$, and $\phi$ 's. The changes will presumably be higher for the $\alpha_{1}$ vs $\phi_{2}$ plot. $\Delta \alpha\left(=\alpha_{1}-\alpha_{3}\right)$ vs $\Delta \phi$ or vs $\Delta d$ plots should also show ascending trends.

Since $\phi_{2}$ changes more than $\phi_{1}$ along the rotation we expect better correlations vs $\phi_{2}$ than vs $\phi_{1}$. Moreover, severe scatter in the correlations due to errors in the measured values are expected since the overall changes in bond lengths and angles along the rotation are not very large. Several plots are given in supplementary material Figures $\mathrm{S} 4-\mathrm{S} 30$. For convenience they were treated as linear even when the scatter was appreciable, and the slope of many correlations are given in Table III.

Calculated and experimental $d_{1}$ vs $\phi_{2}$ plots (Figure $S 4$ ) show a descending trend, $d_{1}$ vs $\phi_{1}$ plots show large scatter, $d_{2}$ vs $\phi_{1}$ and $d_{3}$ vs $\phi_{2}$ (Figure $S 5$ ) plots show an ascending trend. Calculated and experimental $d_{2}$ vs $\phi_{2}$ and $d_{3}$ vs $\phi_{1}$ show negative trends (Figures S6-S15). The derived $\Delta d\left(=d_{3}-d_{2}\right)$ vs $\Delta \phi\left(\phi_{2}-\phi_{1}\right)$ correlation (Figure 6) demonstrates the scatter observed in most of the experimental correlations.

Experimental plots of angle $\alpha_{2}$ vs $\phi_{1}$ show a large scatter. The calculated plot shows an ascending trend. The complementary $\alpha_{2}$ vs $\phi_{2}$ plots (Figures Sl6-S18), of $\alpha_{1}$ vs $\phi_{1}$ (Figures S19-S22) and (with a large scatter) for $\alpha_{3}$ vs $\phi_{2}$ plots, show descending trends. Ascending trends with appreciable scatter were observed for the calculated $\alpha_{3}$ vs $\phi_{1}, \alpha_{1}$ vs $\phi_{2}$, and $\Delta \alpha$ vs $\Delta \phi$ plots, Scatters were also observed in the $\alpha_{2}$ vs $\Delta \phi$ plots which show descending trend (Figures S23-S25). The sum $\phi_{1}+\phi_{2}$ for 2 generally increases during the one-ring flip process. The expected decrease of $\alpha_{2}$ vs $\phi_{1}+\phi_{2}$ is displayed in the calculated and experimental plots (Figures 7 and $\$ 26$ ). For few non-hydrogen-bonded compounds $\phi_{1}+\phi_{2}>90^{\circ}$ in the ground state, but the overall trend parallels the calculated plots.

In the bond length vs bond angle correlations (Figures S27-S29) $d_{1}$ vs $\alpha_{2}$ plots are reasonably linear with a positive slope. $d_{2}$ vs $\alpha_{1}$ and $d_{3}$ vs $\alpha_{3}$ plots show descending trend with scatter. Calculated $d_{2}$ vs $\alpha_{3}$ and $d_{3}$ vs $\alpha_{1}$ plots show severe scatter with ascending trend. The experimental ascending $\Delta d$ vs $\Delta \alpha$ plot for
non-hydrogen-bonded benzophenones (Figure S 30 ) is one of the best correlations.

Consequently, correlations exist between various structural parameters of the benzophenones and are frequently amplified in the hydrogen-bonded systems. Since the one-ring flip is the favored rotational route, the trends indicated by both the experimental correlations and the MM calculations predict the changes in the structural parameters accompanying rotations of the rings. For example, from Figures $S 4 B, S 5, S 15$, and $S 22, d_{1}$ for the hydrogen-bonded systems decreases by $0.02 \AA, d_{3}$ increases by $0.015 \AA$ for non-hydrogen-bonded systems, $\alpha_{2}$ decreases by ca. $3^{\circ}$ and $\alpha_{1}$ increases by ca. $3.5^{\circ}$, during the rotation. Both calculated and observed $\Delta d$ vs $\Delta \phi$ plots (Figure 6) indicate an increase of $0.04 \AA$ in $\Delta d$ from the equilibrium value to the value in the rotational transition state, where $\Delta \phi \simeq 90^{\circ}$.

## Conclusions

In contrast with the 1,1-diarylethylenes which show a one-ring/two-ring flip dichotomy of rotational pathways, helical benzophenones undergo a helicity reversal process exclusively via a one-ring flip process. This is ascribed to the larger $\mathrm{Ar}-\mathrm{C}=\mathrm{X}$ conjugation energy for $\mathrm{X}=\mathrm{O}$ (benzophenones) than in $\mathrm{X}=\mathrm{CR}_{2}$ ( 1,1 -diarylethylenes). The structural correlation method is used for tracing the preferred rotational mechanism, and for grossly evaluating the structural parameters of the transition state. A complementary analysis of X-ray data and calculation can be used to derive reliably these structural parameters.

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Supplementary Material Available: Figures S1-S30 of correlations between various bond lengths, bond angles, and torsional angles for benzophenones and calculations of changes in these parameters for the one-ring flip of 2 ( 24 pages). Ordering information is given on any current masthead page.

# Proton Transfers among Oxygen and Nitrogen Acids and Bases in DMSO Solution 

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#### Abstract

Rate constants for the proton-transfer reactions between conjugate acids and bases of several amines, phenols, carboxylic acids, and the solvated proton in DMSO- $d_{6}$ at $20^{\circ} \mathrm{C}$ have been determined by the use of NMR line-shape analysis. Equilibrium constants for the same reactions are obtained from the $\mathrm{p} K_{\mathrm{a}}$ 's of the acids in dimethyl sulfoxide, some of which have been reported in earlier work and the rest obtained in the present work by use of Bordwell's indicator techniques. All of the reactions have rate constants considerably below expected diffusion-controlled limits for the proton transfers in the thermodynamically favorable dircction, and several of the reactions, including the identity reactions of carboxylic acids, have kinetic deuterium isotope effects, $k_{\mathrm{H}} / k_{\mathrm{D}}$, between 0.8 and 1.3. For reactions of $N, N$-dimethylbenzylammonium ion with several phenoxides, carboxylates, and solvent, the rate constants for transfers in the unfavorable directions show a reasonable Bronsted correlation with $\beta \approx 1$ and a reasonably constant reverse rate constant of $\approx 3 \times 10^{6} \mathrm{M}^{-1} \mathrm{~s}^{-1}$. The data clearly indicate that the proton-transfer step is not rate-limiting in these reactions. Most likely, desolvation is involved in the rate-limiting steps, but the rate constants are not simple functions of acidities as might have been expected if hydrogen bonding of acid to solvent were the major factor involved in the solvation. Other factors, particularly dispersion interactions of solvent with solutes, are discussed. We suggest that the formation of an acid-base complex with proper orientation to allow contact between the proton and the basic site is rate-determining and involves desolvation along with detailed steric interactions of the acid-base pair.


Eigen's classic studies' established the fact that proton transfers between electronegative atoms (specifically, $\mathrm{O}, \mathrm{N}$, and F ) in
aqueous solution frequently occur with diffusion-limited rates in the thermodynamically favorable direction. In the three-step


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